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# The practice of prediction: What can ecologists learn from applied, ecology-related fields?

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ABSTRACT

The pervasive influence of human induced global environmental change affects biodiversity across the globe, and there is great uncertainty as to how the biosphere will react on short and longer time scales. To adapt to what the future holds and to manage the impacts of global change, scientists need to predict the expected effects with some confidence and communicate these predictions to policy makers. However, recent reviews found that we currently lack a clear understanding of how predictable ecology is, with views seeing it as mostly unpredictable to potentially predictable, at least over short time frames. However, in applied, ecology-related fields predictions are more commonly formulated and reported, as well as evaluated in hindsight, potentially allowing one to define baselines of predictive proficiency in these fields. We searched the literature for representative case studies in these fields and collected information about modeling approaches, target variables of prediction, predictive proficiency achieved, as well as the availability of data to parameterize predictive models. We find that some fields such as epidemiology achieve high predictive proficiency, but even in the more predictive fields proficiency is evaluated in different ways. Both phenomenological and mechanistic approaches are used in most fields, but differences are often small, with no clear superiority of one approach over the other. Data availability is limiting in most fields, with long-term studies being rare and detailed data for parameterizing mechanistic models being in short supply. We suggest that ecologists adopt a more rigorous approach to report and assess predictive proficiency, and embrace the challenges of real world decision making to strengthen the practice of prediction in ecology.

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## 1. Introduction

Accurate predictions about the consequences of environmental change for natural populations, communities, and ecosystems would be valuable to inform conservation, management and adaptation strategies (Clark et al., 2001). This is even more evident when considering the current speed and magnitude of environmental change, for instance climate change, which has spurred scientific disciplines such as climatology to invest considerable effort in predicting the future (IPCC, 2014).

Ecology has a long history of using *explanatory* prediction to test hypotheses and theories (Peters, 1991; Resetarits and Bernardo, 1998). The purpose of *anticipatory* prediction, in contrast, is to provide useful information about the future state of a system (Mouquet et al., 2015). As such it is unimportant how anticipatory predictions are made (mechanistic versus phenomenological models), so long as they are useful. A culture of *anticipatory* predictions is only beginning to develop, and opinion about the success of such an enterprise is divided (Petchey et al., 2015). Some believe that medium- to long-term predictions in ecology are impossible due to factors such as model and parameter

uncertainty, system complexity and non-ergodicity (i.e., not having the same behavior averaged over time as over all the system's states), or long-term transients (Planque, 2016), making predictions "computationally irreducible" (Beckage et al., 2011). Others show that mechanistic models are able to make precise, accurate, and reliable predictions about a variety of state variables of complex ecosystems (Purves et al., 2008). General and specific statements about the ability to make useful anticipatory predictions about ecological variables could be facilitated by the considerations below (Petchey et al., 2015).

First, one should not ask whether ecology is predictable or not, but about the predictive proficiency for a given response and a given time frame. It may be easy to predict that a 50% increase in a forest fragmentation index in certain locations will result in some bird species going locally extinct within the next 100 years. It would, however, be harder to predict the percentage of bird species that would become extinct, and still harder to predict exactly which bird species would become extinct. So 'what is being predicted' needs to be specified carefully, as well as the time frame of prediction (Petchey et al., 2015).

Second, coherence about how to measure predictive ability is desirable, yet there are many metrics available, some of which are redundant, whereas others measure distinct features of predictive ability (Olsen et al., 2016). Petchey et al. (2015) proposed that coherence and generality could be achieved by the ecological forecast horizon (EFH). The EFH is a quantitative tool to assess the predictive proficiency when observations are compared (e.g. using $R^2$) to a particular model of the system. The forecast horizon is the time into the future for which forecasts can be made within a given predictive proficiency domain. Use of the EFH makes both time frame and predictive proficiency explicit.

Third, a view of past and current predictive ability, and a vision for the future would be useful (Fig. 1). In weather forecasting, predictive proficiency has continuously improved since the 1980's from about 80% to better than 95% in 2013 for forecasts three days ahead, while weekly forecasts improved from about 40% to 70% (Bauer et al., 2015). Some of the success in improving predictions is related to the meticulous monitoring of predictive success. Hence, knowing and critically evaluating predictive proficiency is essential, as it allows evaluation of our progress and enables identification of areas with deficient predictive proficiency.
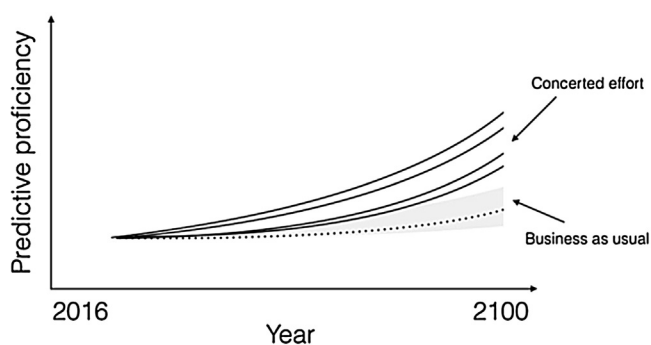


**Fig. 1.** Scenarios of how the ability to predict ecological dynamics may evolve in the future. Business as usual (shaded region) involves relatively sparse and uncoordinated efforts in ecological forecasting, and would result in no or slow increase in predictive ability, with occasional breakthroughs (not illustrated). Concerted effort is another scenario to transform ecological science into being primarily concerned with and coordinated to improve anticipatory predictions. The resulting increase in predictive ability is uncertain (hence multiple different lines). One scenario of limited advances in predictive ability despite increased efforts (dotted line) could result from there being hard limits to ecological predictability (e.g., computational irreducibility). Other scenarios (solid lines) showing faster increases in predictive ability, could result from advances in data availability and modeling, for example.

Fourth, ecologists need to understand where advances in predictive ability are most easily achieved, and what is required to make such advances. For example, one major difference between ecology and fields such as weather forecasting is the availability of data to check predictions. Ecological studies are often conducted over a given time frame (e.g., a thesis or research grant) and may be short compared to the relevant time scale of the study system (e.g., population dynamics of a particular animal or plant species). The vast majority of datasets in ecology fall into the category of short-term independent studies (Mouquet et al., 2015). Furthermore, datasets are often not collected with the specific purpose of making anticipatory predictions (Mouquet et al., 2015). This currently limits our ability to check the predictive success of particular forecasting techniques and to define the baseline of predictive success in ecology.

While ecology in general is only beginning to develop the practice of prediction, related fields such as fisheries science that have to provide quantitative predictions to government agencies, may have already developed standardized reporting rules and rigorous means for assessing predictive proficiency from which ecologists can generally learn. We therefore selected fields and phenomena such as fisheries, epidemiology, eutrophication and algal blooms, ecotoxicology, forestry, and marine and terrestrial biogeochemistry and searched for representative case studies. Importantly, these fields often deal with similar kinds and levels complexity. Given the vast literature in each field, our overview is necessarily incomplete; hence we informally (i.e., through discussion rather than quantitative analysis) review representative case studies. Our goal is to derive some insights as to why and when predictions succeed in these fields and produce some suggestions as how to strengthen the practice of prediction in ecology.

## 2. Predictions in ecology-related fields

In this section we give an overview of fields, in no particular order, in which policy relevant predictions are made. To facilitate comparisons across fields, we use a common template to describe the predictive practice. In each subsection we first describe why prediction is important for the field and what type of predictions are made. We then discuss the predictive proficiency obtained and the types of models used in the representative case studies. Finally, we assess the importance of data availability and quality in the field, and highlight particular strengths and challenges for the practice of prediction (summarized in Table 1).

Predictive models span a range of techniques, from simple extrapolation, to time series modeling using statistical or machine learning type models that can capture linear and non-linear patterns, to process-based models (e.g. individual-based models or population models based on first principles) that include biological mechanisms and environmental dependencies. Here we follow the rough separation of models into mechanistic (e.g. individual-based models) versus phenomenological models (including extrapolation, statistical and machine learning approaches) introduced by Mouquet et al. (2015). Whereas the latter are powerful at capturing patterns in the data, they do not capture explicit mechanisms and hence may predict poorly out of the range of data (Evans et al., 2013). On the other hand, process-based models are expected to work better under novel conditions, provided the key mechanisms are correctly included. Approaches also differ in terms of the data required for parameterization. Process-based models tend to be more demanding in terms of the data required, whereas phenomenological approaches often are applied directly to the state variable (e.g. time series analysis of population sizes).

**Table 1**
Overview of the ecology-related fields that were reviewed in terms of responses, model types used for predictions, measures of predictive success as well as strengths and challenges to achieve better predictive proficiency. Abbreviations used: RE = relative error, AE = absolute error, $R^2$ = coefficient of determination, ROC = receiver operating characteristic curve, AUC = area under the ROC curve.

| field | targets of prediction (variables to be predicted) | models used (e.g. phenomenological, mechanistic) | measures of predictive success (e.g. $R^2$, correlation coefficient, RE or AE) | strengths | challenges |
|---|---|---|---|---|---|
| Fisheries | abundance and landings, recruitment, biomass, relative abundance and occurrence, maximum sustainable yield | Empirical dynamic modeling, generalized additive models, single age or stage structured population models, EBFM model | correlation coefficient, $R^2$, ROC curves, multiple regressions, observations within predicted boundaries | regular data collection over large spatio-temporal scales | Delay between data collection and assessments, often only short-term forecasts, insufficient data about species interactions |
| Epidemiology | establishment of novel pathogens, peak and timing of epidemics, effect of interventions such as vaccination, quarantining, culling, vector control, disease outbreak and invasion thresholds | SIR and extensions such as TSIR, stochastic-, statistical-, eco-epidemiological-models, individual-based model | $R^2$, likelihood, time difference in epidemics peak, disease outbreak timing | High quality data sets covering vast spatio-temporal scales; new technologies such as mobile phone data and search engine queries can be used for real-time prediction | Inclusion of social network data, complex system models, individual oriented disease control modeling, inclusion of immune system models |
| Eutrophication and algal blooms | phytoplankton biomass, nitrate levels, phosphate levels | mechanistic (often with several simple statistical components), statistical models | $R^2$ and RE | relatively well-understood physical and chemical components, predictions of physical variables is good, predictive ability is high when there are strong physical drivers | Biological processes less well understood, difficult to get enough data to parameterize complex models, there's a widespread practice of bolting together models without questioning the suitability of the underlying functional forms |
| Ecotoxicology | Contaminant fate in environment, mortality, chemical uptake in organisms | Mechanistic models, individual-based models | Statistical comparisons with empirical data, both in the laboratory and field | Ability to use hydrologic models for contaminant fate, large amount of laboratory data for contaminant uptake and effects of toxicants on individuals | Huge numbers of new chemicals entering the environment for which few data on effects are available |
| Forestry | Forest succession and primary production | Individual-based models, Perfect-Plasticity Approximation, species distribution models | Statistical comparisons with species composition and production, $R^2$, AUC | Ability to test against 'space for time' for forest succession and plantation data for wood production | Increasing the tree species for which adequate data exist to parameterize IBMs, upscaling to global level |
| Biogeochemistry | Nutrient flows, plant growth and nutrient uptake, plant turnover and decomposition, primary production (amount and variability) of marine systems, amount of C trapped in the ocean | Compartment models, structured in elements (C, N, P, Si, Fe) | Statistical comparisons with empirical data at various spatial and temporal scales | Models with physico-chemical components for which data exist; newly available data (satellite, AUV, . . . ) | Plant dynamics and decomposition processes for new systems may not be well known; some physical models (e.g. marine hydrology) still have high uncertainty; marine biogeochemical models are sensitive to herbivory, which is often uncertain |

## 2.1. Fisheries

Anticipatory predictions in fishery science are needed to inform management and conservation as fish stocks are depleted on a global scale. According to Garcia and Grainger (2005) 52% of stocks are fully exploited and 16% overfished. Fish stock assessments provide guidelines for sustainable management of focal fish species, and are based on catch data, scientific surveys and biological information about the species. Important predicted quantities are the total population size or biomass to inform maximum sustainable yield, the age structure of a stock, and its fecundity.

Since the 1950's very simple population dynamic models have been used to make forecasts of abundance based on the single-species formalism of Beverton and Holt (1957). These models usually consider only age or stage structure, with multiple cohorts. Stock-recruitment relationships such as the Ricker or Beverton-Holt curve are used to predict the recruitment of a harvested population using information on its spawning biomass. Cury et al. (2014) have found low explanatory power of the stock-recruitment relationship, explaining only 8.8% of the variation in a global

dataset of stock-recruitment curves, even though it is still widely used in fish stock assessments. They suggested that a better consideration of density-independent factors (e.g. species interactions and temperature) may increase the amount of variation explained.

Moving beyond simple linear relationships, non-linear time series analysis has gained traction in fishery sciences. One promising technique is empirical dynamic modeling (EDM) as introduced by Sugihara (1994). Recent papers show application of the method to forecast population dynamics (Glaser et al., 2014a,b), and unravel environmental dependencies of population dynamics (Deyle et al., 2013; Hsieh et al., 2005). The methodology can deal with non-linearity and readily produces forecasts from time series of abundance for which relatively long-term records exist in fisheries. Glaser et al. (2014a) found that about 70% of 206 time series of fish and marine invertebrates had significant predictable structure, but that the average predictive proficiency (using the correlation coefficient ρ) was only about 0.39. They concluded that short-term (e.g., 1 year forecasts) are feasible, whereas mid to long-term forecasts (2–10 years) are not reliable yet. Francis et al. (2005) used a more traditional generalized additive modeling approach to model

relative abundance and occurrence of individual species. They report that models performed reasonably well ($\rho > 0.5$) on only 4 out of 12 fish species; however, presence was predicted with greater success (8 out of 12). These authors also reported an independent assessment, using a different dataset collected later, in which 5 out of 8 species were predicted with a $\rho$ larger than 0.5.

It has been suggested that predictions of fish stocks could be improved by a more ecosystem-focused fishery management that includes interactions between species and human exploitation (Travis et al., 2014). Olsen et al. (2016) performed a rigorous assessment of the Northeast U.S. Atlantic marine ecosystem model using 40-year hindcasts and 10-year forecasts using different metrics of predictive proficiency (including correlation and different error measures). Twenty-two ecosystem indicators were evaluated, including emergent properties of the model (e.g., average trophic position). Model performance had large variation across species, but several of the target species showed correlations greater than 0.5 in both hindcast and forecast skill. Root mean squared error for the normalized biomass data ranged between 0.1 and 0.8.

Data for fish assessments is often based on fish landings (sold fish biomass) or portside assessments of catch. Whereas the former has the advantage of capturing the effects of a major human intervention, they can be confounded by complex human behavior, including under-reporting of catch (Glaser et al., 2014b). Time series of abundance can be of considerable length (>50 years) in fisheries, facilitating data-driven approaches, however, detailed data to parameterize more process-based models is not so readily available (Travers et al., 2007). More explicit consideration of biotic interactions and environmental drivers would probably lead to better predictions (Brander, 2003; Travis et al., 2014). A general shift towards an ecosystem-based fisheries management approach, based on trophic web models with many components and across levels of organization, can be observed (e.g. Travers-Trolet et al., 2014). These models still suffer from over-simplifications, such as describing interactions in marine communities as largely unidirectional trophic relationships instead of fully embracing their complexity (Travis et al., 2014).

The use of forecasting centered methods such as EDM, as well as the use of ecosystem models, provide a clear baseline for the level of predictive proficiency in fisheries. Forecasting proficiency with of rhos about 0.4–0.5 are obtained with different methodologies on population and ecosystem level.

## 2.2. Epidemiology

The public health implications of infectious diseases renders epidemiology an important field for frequent and policy relevant predictions. Important targets of prediction are: the likelihood of an outbreak of a disease (Woolhouse, 2011), the timing and amplitude and shape of an epidemic (Grenfell et al., 2002), and the outcome of specific interventions (Grenfell and Keeling, 2008).

The SIR model developed by Kermack and McKendrick (1927), in which the population is divided into susceptible, infective and recovered individuals, forms the basis of many epidemiological models. The crucial parameter of the model, $R_0$, predicts the spread of the epidemic, i.e., when $R_0 > 1$, the infection will spread in a population. A recent example of epidemiological prediction is the occurrence of dengue during the 2014 FIFA World Cup in Brazil. Lowe et al. (2014) predicted a high risk of acquiring dengue in Brazil, providing probabilistic forecasts of risk based on seasonal climate forecasts. Aguiar et al. (2015a) also analyzed the epidemiological data, taking into consideration population densities in the twelve host cities, and the seasonality of mosquitoes. In contrast, they predicted a low infection rate during the World Cup due to the incorporation of different drivers, which was confirmed

later (Aguiar et al., 2015b). Another predictive success in epidemiology was the foot-and-mouth-disease outbreak in Great Britain in 2001, which yielded detailed insights into the disease dynamics and a high quality data set (Grenfell and Keeling, 2008; Woolhouse, 2011). A statistical model (logistic regression) and individual-based models were used in hindsight to check their ability to predict which farms were at risk during the 2001 outbreak (Woolhouse, 2011). The statistical model was found to predict the risk of becoming infected slightly better than the dynamic model (Woolhouse, 2011). Measles dynamics are emblematic cases of successful prediction due to characteristic recurrent cycles in large population centers and occasional breakouts in smaller communities (Bjørnstad et al., 2002). The authors predicted cases of measles by fitting a time-series SIR model (TSIR, Grenfell et al., 2002) to data from 60 UK communities and reported impressive short-term (two weeks ahead) mean $R^2$ of 0.85 by comparing predicted to observed cases. The $R^2$ ranged from 0.98–0.92 for large cities, and was still reasonably high (0.74) for small communities. Extensions of measles modeling to small communities that have highly stochastic dynamics still achieved $R^2$ of 0.86 to 0.55, with 5 out of 6 communities scoring higher than 0.73 (Caudron et al., 2015). A recent review gives a comprehensive account of the predictability of influenza outbreaks, comparing time series modeling, individual-based, compartmental and metapopulation models (Nsoesie et al., 2014). Three studies predicted the magnitude of influenza activity and reported correlation coefficients between 0.58 and 0.94 (Nsoesie et al., 2014), whereas mechanistic approaches were evaluated in terms of observations falling within the confidence intervals of the model. The use of different measures hence hampers direct comparisons between the predictive proficiency among approaches.

Epidemiology has excellent long-term records of disease-incidence through space and time. This can be used for validation and testing models, including the influence of measures such as vaccination, quarantining and vector control. Epidemiology also benefits from new data sources, such as internet search queries. A correlation between predicted and observed influenza cases of 0.96 was obtained (Ginsberg et al., 2009), highlighting the potential of these techniques in improving forecasts and decreasing time delays. As these models rely on correlations between search patterns and disease symptoms, input data have to be reviewed carefully to protect against false alerts (Woolhouse, 2011). However, issues related to data quality and availability are not unique to new predictive approaches in epidemiology, but apply equally to more traditional approaches. Aguiar et al. (2014) describe a situation where datasets based on different interpretations of official documents created divergent predictions of disease dynamics. Another problem is that at an international level some countries may be unwilling to share the data with the World Health Organization (Woolhouse, 2011), exacerbating the genuine lack of data for many other diseases.

Epidemiology shows impressive examples of forecast proficiency with $R^2$ ranging above 0.9 and even above 0.7 in more difficult settings (e.g. smaller communities, larger influence of stochasticity). Nevertheless, the review by Nsoesie et al. (2014) shows that even when targets of prediction are well defined, the use of different measures of forecast proficiency can hamper conclusions regarding the state of the art of prediction.

## 2.3. Eutrophication and algal blooms

Eutrophication models predict the availability of key nutrients (nitrogen, phosphate), phytoplankton biomass (i.e. chlorophyll a concentration) or oxygen availability in aquatic systems. Excessive nutrients in aquatic system can lead to phytoplankton blooms, especially cyanobacteria (Conley et al., 2009). As some of the

organisms can harm humans and ecosystems, environmental monitoring aims to predict harmful algal blooms.

Early studies of N and P fertilization were successful at predicting that fertilization with P would lead to dominance by N-fixing phytoplankton, but not which species would be dominant (Schindler, 1977). Modern eutrophication models include a significant, relatively well understood hydro-dynamical component. Nevertheless, this results in eutrophication models being computationally expensive, requiring substantial data to calibrate, often to the detriment of biological detail (Robson, 2014a). Arhonditsis and Brett (2004) compiled a list of 153 mechanistic aquatic biogeochemical modeling studies. Models could generally reproduce the temperature and dissolved oxygen time series well, with $R^2$ values of 0.93 and 0.7, respectively, and median relative error <10%. In contrast, state variables relevant to eutrophication— nutrients and phytoplankton—were only moderately predictable, with $R^2$ values ranging from 0.4 to 0.6, and median relative errors of around 40%. The models predicted the dynamics of bacteria and zooplankton even less well. Trolle et al. (2014) compared the ability of three of the most widely used aquatic ecosystem models—DYRESM-CAEDYM (Hamilton and Schladow, 1997); PCLake (Janse, 1997) and PROTECH (Elliott et al., 2010) to predict chlorophyll a concentrations in lakes. No model performed particularly well when predicting the day-to-day chlorophyll concentrations (max $R^2$ 0.33, minimum relative error 103%), but predictions of monthly means were reasonable (max $R^2$ 0.62, minimum relative error 77%).

Jacobs et al. (2014) modelled the presence, abundance and potential virulence of *Vibrio vulnificus* in marine surface waters. They used a logistic regression model based on the output of ChesROMS, a three-dimensional model that simulated the circulation and physical properties of the estuary (sea surface height, temperature, salinity, density and velocity). The model achieved 82% classification success in the training data based on a set of environmental variables. The same variables were also useful in predicting abundance (low versus high) with concordance of 92% ($R^2$ of frequency of occurrence on validation high: 0.94–0.98). Froelich et al. (2013) modelled the presence of the whole *Vibrio* genus in estuarine waters. They used a mechanistic model based on hydrodynamics, growth and death rates and a statistical model (multiple linear regression) based on environmental parameters (temperature and salinity) to predict *Vibrio*. This allows direct comparison of predictive abilities of statistical and mechanistic models. The mechanistic model was based on the Environmental Fluid Dynamics Code (Hamrick, 1992) and predicted physical environmental parameters such as salinity and temperature very well ($R^2$ 86.6 and 97.1, respectively). The mechanistic model achieved 63% of explained variation for log-transformed abundances, timing and magnitude of the peak abundance were mostly well predicted. The statistical model explained 48% of variation in abundance.

Mechanistic eutrophication models require many physical inputs, including hydrodynamic data, weather conditions, nutrient influx and outflow, as well as water quality parameters and ecological inputs. Data availability on the biological components is likely to increase as new monitoring schemes based on environmental genetic data becomes more widely used (Paerl et al., 2003). This may enable real-time microbiological assessments of aquatic systems in the future.

Eutrophication models tend to make good predictions when they 'have strong physical drivers' (Robson, 2014b). Both Froelich et al. (2013) and Arhonditsis and Brett (2004) show that physical properties of the environment are often well captured, whereas the biological layer has considerably lower predictive proficiency. In the N and P fertilization studies, both the behavior of nutrients and the behavior of the phytoplankton community as a whole were fairly law-like. However, exactly which N-fixing species would dominate was not so predictable, because detailed knowledge about the individual species was lacking (Schindler, 1977). Nevertheless, reported levels of $R^2$ for phytoplankton dynamics (range 0.3–0.8) indicate low predictive proficiency even for the biotic components. The ensemble approach used by Trolle et al. (2014) can improve proficiency and indicate prediction uncertainty, and is commonly used to compare climate (Murphy et al., 2004) and meteorological models (Houtekamer et al., 1996; Tracton and Kalnay, 1993).

## 2.4. Ecotoxicology

Ecotoxicology aims to predict the movement of toxicants in the environment, their uptake and bio-concentration in organisms, and the resulting population level effects. Contaminant fate models describe the fate and distribution of contaminants in the aquatic system. Important processes are transport (flow and dispersion), degradation, volatilization, sorption, sedimentation and resuspension.

The predictive ability of several models simulating the bioconcentration of organic chemicals by fish has been reviewed by Barber (2003). Based on the properties of various organic chemicals, these models successfully predicted the bioconcentration of chemicals in tissues through time. Progress in extrapolating the effects of toxicants on individuals to the population level is being made through individual-based models. Dynamic Energy Budget (DEB) theory extrapolates the effects of toxicants measured at the individual level to the population level. DEB is based on first principles in bioenergetics and uses a common model structure for all species. Martin et al. (2013) present the general approach for animals, and give an example using *Daphnia magna* exposed to an herbicide. The model captured the density dynamics and changes in the size structure without fitting or calibration at the population level. It therefore successfully extrapolated to environmental conditions not included in the parameterization process.

Eco-toxicological data are mostly collected in controlled laboratory studies where lethal effects of toxicants on individuals are measured. Detailed physiological models of toxicant concentration in individuals hence exist, but the challenge is to predict the effects on higher levels of organization. Individual-based models and DEB theory in particular show promise for predicting across levels of organization and take advantage of the rich data sources available on the individual level. In addition, accidents can provide important and realistic situations to predict contaminant spill in the environment; e.g., accidental discharge of nitrobenzene in 2005 in the Songhua River, China (Lei et al., 2008). Ecotoxicology, with its strong foundation in physiology relies a lot on mechanistic modeling, rather than more phenomenological approaches. However, it has been suggested that machine learning has considerable promise in detecting individual level biomarkers based on gene expression profiles (Vandersteen, 2011).

## 2.5. Forestry

Two primary targets of prediction in forestry are forest succession and forest productivity. Foresters need predictions to take long-term decisions regarding the forest composition in the face of climate change, invasion by non-native trees, and forest fragmentation, while at the same time managing forests for production. Forests also play an important role in the carbon cycle and hence in the response of the global climate system to carbon dioxide emissions (Purves and Pacala, 2008).

Individual-based forest gap models have been used to predict forest succession, composition, and effects of environmental changes on forests from their inception in the early 1970's with

the development of JABOWA (Botkin et al., 1972). They use data on individual trees modified by environmental conditions, including growth, competition through local interactions (shading), and reproduction. Such models have successfully reproduced the species composition of old-growth, semi-natural forests (Purves et al., 2008). Ngugi et al. (2011) used the Ecosystem Dynamics Simulator (EDS), based on JABOWA-II (Botkin, 1993) in projecting growth dynamics of mature remnant Australian brigalow forest communities and the recovery of brigalow thickets. The model was parameterized for 34 tree and shrub species and tested with independent long-term measurements. It closely approximated actual development trajectories of mature forests and regrowth thickets. Changes in species composition in remnant forests were projected with a 10% error. Basal area values observed in all remnant plots ranged from 6 to $29 \, m^2 \, ha^{-1}$ and EDS projections between 1966 and 2005 (39 years) explained 89.3 ($\pm 1.8$)% of the observed basal area of the plots.

Individual-based forest models are often quite complex and hence analytically intractable. Recently the perfect-plasticity approximation (PPA) was developed as a model of forest dynamics (Purves et al., 2008). It is based on individual tree parameters, including allometry, growth, and mortality. For eight common species in the US, timing and magnitude of basal area dynamics and ecological succession on different soil types were found to be accurate, and predictions for the diameter distribution of 100-year-old stands had qualitatively correct shape (Purves et al., 2008).

A serious obstacle for testing predictions of forest succession is that this process can take centuries to reach its final state. For that reason, 'space-for-time' substitutions have been used (Pickett, 1989). Clebsch and Busing (1989) empirically measured forests after 63 years of agricultural abandonment. Forest composition at 63 years was used as starting condition for a forest gap succession simulator (FORET). The prediction (300 years ahead) matched the state of a nearby old-growth forest.

Phenomenological approaches have been used to model the geographic distribution of 30 different tree species, based on environmental data, in Switzerland (Guisan et al., 2007). Ten different methods (ranging from GLMs to GAMs, MaxEnt and regression trees) were compared using the area-under-the-curve metric (AUC > 0.9 means good skill, < 0.7 poor skill). Predictive proficiency varied among species more than among modeling technique, so that most of the species' distributions were predicted reasonably well (AUC >0.7) with at least one of the techniques.

It is not surprising that the economic importance of forests has led to abundant data, from individual tree growth to the dynamics of forest stands. Individual-based models require detailed information such as light transmission and seed dispersal kernels for parameterization, but have proven to be successful at local scales (Purves et al., 2008). However, currently available data from long-term forest monitoring programs is often still insufficient to implement parameter-rich, process-based models (Evans and Moustakas, 2016). New developments such as the PPA may circumvent some of these limitations, as they require less data to upscale in a computationally efficient manner. Forest inventory data, where sample plots are measured on a regular basis (every 5–10 years), are becoming increasingly available and can be used as input for PPA (Purves et al., 2008).

Forestry can be considered a quite successful predictive science. Predictive proficiency is assessed by the ability to capture patterns (e.g. size distributions, growth dynamics) rather than metrics (e.g. $R^2$). The need for long-term predictions has led to original approaches (e.g. space-for-time) to test models. Modelling approaches that aim to predict across levels of organization (individual growth), stand dynamics (population), compositional changes (community), as well as ecosystem properties are needed, and IBMs have shown some promise in achieving this goal.

## 2.6. Terrestrial and marine biogeochemistry

Biogeochemistry encompasses linked physical, chemical, geological, and biological processes in the environment at all scales (Schlesinger, 1991). Biogeochemical models are key components in predictions of climate change and in understanding the feedbacks with the biosphere. They therefore have very important implications for global policy. For instance, global vegetation models are important components of climate change models (Purves and Pacala, 2008).

An important goal of terrestrial biogeochemical models is to predict carbon storage as a function of increasing $CO_2$ in the atmosphere. Predictions of four global terrestrial ecosystem models regarding the terrestrial carbon storage from 1920 to 1992 were compared (McGuire et al., 2001). These models, which have a spatial resolution of 0.5", have been calibrated and tested on small scales. In the tests, atmospheric $CO_2$, climate, and cropland extent were used as inputs. Among other predictions, three of the four models predicted net release of terrestrial carbon up to 1958, and all four predicted net uptake after 1958. At local spatial scales, the CENTURY model is representative of terrestrial biogeochemistry models, which are based on relationships between climate, human management (fire, grazing), soil properties, plant productivity, and decomposition (Parton et al., 1993). CENTURY is a general ecosystem level model that simulates plant production, soil water fluxes, soil organic matter dynamics and nutrient cycling for grassland, forest, savanna and agroecosystems (Parton et al., 1993). It has been tested using observations from many temperate and tropical grasslands around the world. The results show that soil C and N levels can be simulated to within $\pm 25$% of the observed values (100 and 75% of the time, respectively) for a diverse set of soils.

Models in marine biogeochemistry have been developed to understand and predict biogenic cycles (carbon, nitrogen, phosphorus, silica, etc.) over broad temporal and spatial scales. They were also used to understand the drivers of spatio-temporal variation in primary production. Najjar et al. (2007) compared twelve models predicting global primary production, sea surface concentration of dissolved organic carbon and seasonal oxygen fluxes. The results agreed with empirical data, but predictions were very sensitive to the circulation and to the mixing layer depth. Carr et al. (2006) made predictions of global primary production on the basis of satellite data, and the predictions varied within a factor of 2. For comparison, 24 biogeochemical models were used in the same regions, with the result that the predictions encompassed the same ranges as the empirical estimates, and shared the property of a strong divergence in the Austral Ocean, in the polar and subpolar regions and in eutrophic regions. A follow-up study compared 21 ocean color models and 9 biogeochemical models in their ability to predict primary production in the tropical Pacific region from 1983 to 1996 (Friedrichs et al., 2009). Models varied widely in predictive proficiency, but this was not related to model type or complexity. Saba et al. (2010), used 36 models (22 ocean color models using spectral analysis to estimate water constituents, including chlorophyll-$a$) and 14 biogeochemical models to calculate the primary production in two regions from 1989 to 2004. 90% of these models underestimated the primary production, with the bias of the biogeochemical models being twice that of the ocean color models, and only 2% of the biogeochemical models were able to reproduce the primary production increase observed in these stations (2% per year), indicating it may take time for marine biogeochemical simulation models to catch up with more direct observational indicators such as ocean color.

The broad spatio-temporal scales of biogeochemical cycles make reliable measurements difficult. Primary sources of data could be reconstructions of biogeochemical cycles preserved in

paleo-records. Remote sensing techniques have promise in improving data availability for global biogeochemical models and increasingly provide data for more local scales (Asner and Vitousek, 2005).

Biogeochemical models are often based on first principles (laws of thermodynamics and chemistry), and hence some predictions can be made with confidence on these aspects. The biotic component of these models is dominated by plants and decomposers, which allows reasonable predictions to be made about what to expect when environmental change occurs, or when different ecosystems are studied. Nevertheless, the complexity of the global cycles and the feedbacks between abiotic and biotic processes pose great challenges to accurate prediction.

## 3. Discussion

Our review of the practice of prediction in ecology-related fields showed that some fields achieve relatively high predictive proficiency. Both phenomenological and mechanistic approaches are used in most fields, but when direct comparisons are made, differences are often small, with no clear superiority of one approach over the other. Comparisons are hampered by proficiency being evaluated in many different ways: different metrics are used within and among fields, and predictive proficiency is judged differently for phenomenological and mechanistic models. Data availability is limiting in most fields, with long-term studies being rare, and detailed data for parameterization of mechanistic models being in short supply. Learning from these examples, we give suggestions as to how we may improve the practice of prediction in ecology, summarized in the "forecasting loop" (Fig. 2).

### 3.1. The practice of prediction in ecology-related fields

We found that truly anticipatory predictions are not very common in applied fields, even though some are reported to government agencies (e.g. epidemiology, fisheries), with hindcasts more commonly used to assess predictive proficiency. Epidemiology is one of the most predictive fields, with high predictive proficiency achieved ($R^2 > 0.9$). Whenever new pathogens arise (e.g. Zika virus) anticipatory predictions are made, evaluated in real-time, and also hindsight (e.g., evaluation of models used during the foot and mouth epidemic in the UK in 2001). Another indication for the level of sophistication obtained in epidemiology is the integration of evolutionary processes in predictive models (Gandon et al., 2016).

Fisheries also report yearly forecasts to government agencies; however, we are not aware of rigorous tests of truly anticipatory predictions. Nevertheless, hindcasting using a phenomenological
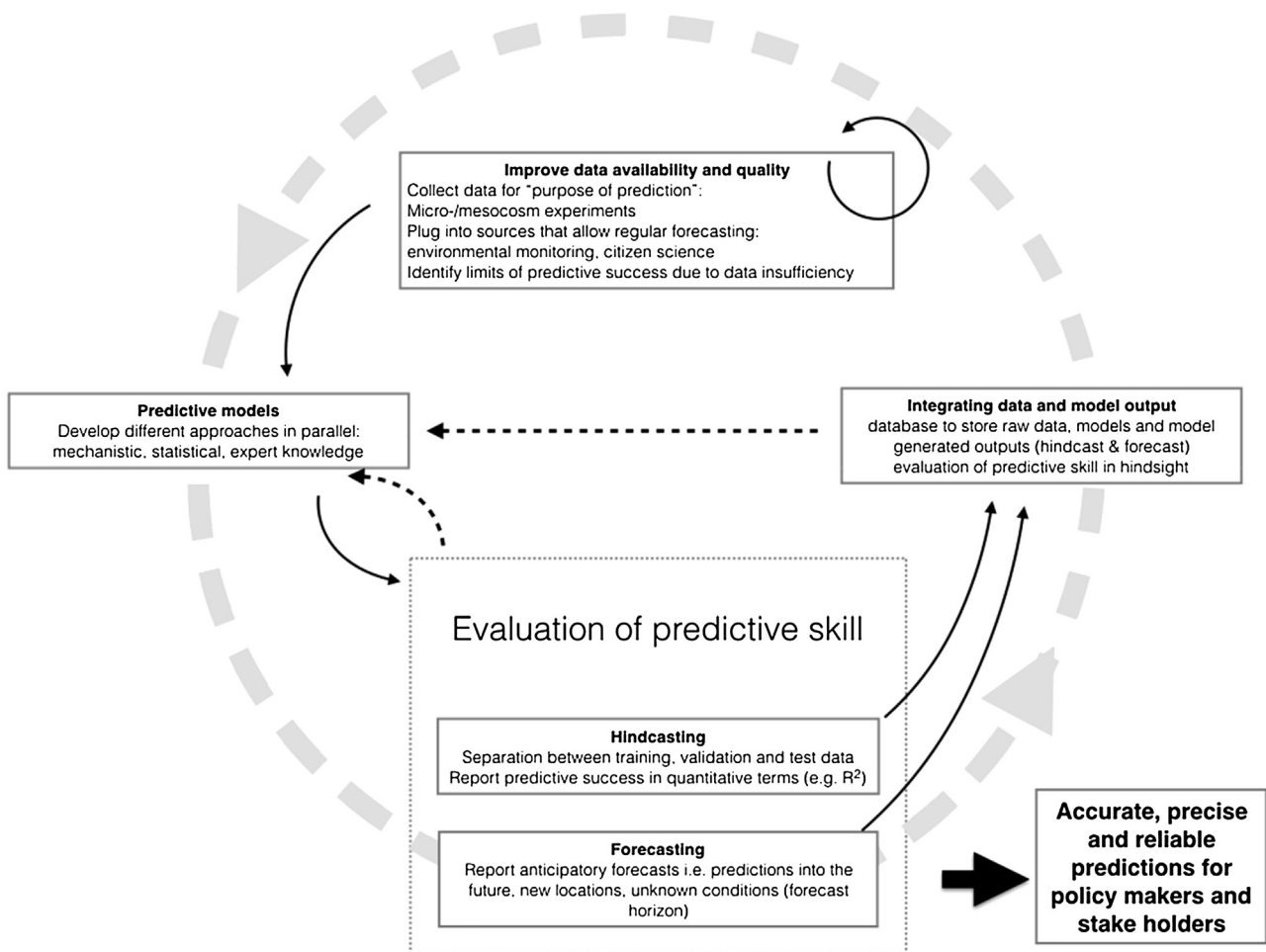


**Fig. 2.** The "forecasting loop" to improve the practice of prediction in ecology. Improved data availability and quality will help to develop predictive models (in the widest sense) that are not limited by insufficient data quality. Both hindcasting and forecasting skills of these predictive models will be tested rigorously to quantify the current state of predictive proficiency. Such evaluations are facilitated by making data, models and model output available in a common database. Importantly, improvements in predictive proficiency are expected to be greater if forecasts are made and reported on a regular basis (indicated by the large loop). Inner loops illustrate that regular and long-term data are important and provide opportunity to evaluate forecasts. The goal is to provide accurate, precise and reliable predictions to policy makers and stake holders to inform about and adapt to recent challenges such as global environmental change.

approach provides a baseline of average predictive proficiency (rho 0.4) in fisheries for different time frames (Glaser et al., 2014a). Lower proficiency may be due to uncertainty about the abundances, or time lags between forecasts and the reporting of stock assessments (Brander, 2003).

Generally, we found that models dominated by basic physical and chemical processes are often better at predicting than models that do not have strong drivers. Epidemics with strong seasonal forcing and eutrophication are good examples. Eutrophication models show high $R^2$ for environmental properties, but considerably lower skill for the biotic component. This indicates that the properties of the biota are still not sufficiently well understood, and/or that biological processes involve complexities inherently more difficult to model. Forestry predictions are successful when a lot is known about the effects of environmental conditions on the survival and growth of individuals (especially shade tolerance), and their life-cycle characteristics. The relative importance of physical and biotic drivers hence may contribute to the predictability of a variable. Whereas physical processes often arise from a single mechanism (or a small number thereof), biological processes often arise from a large, complex system of interacting sub-processes. While these sub-processes may be individually mathematically and experimentally tractable, the complete system rarely is. Consequently, models of biological processes are typically coarse-grained approximations of these complex systems with a resulting increase in uncertainty. By contrast, physical processes can be much more completely characterised and thus are more predictable.

We divided models into phenomenological and mechanistic models. It is often argued that mechanistic models are superior under changing conditions if they capture the correct mechanisms (Evans et al., 2013; Stillman et al., 2015). A few studies compared mechanistic to phenomenological approaches, with phenomenological models often being equal on average (inferior performance in eutrophication models, but slightly better predictions in some epidemiology studies). For the time being it seems that no approach is clearly superior in terms of predictive proficiency, but this may be because challenging and novel predictions are rarely formulated. Therefore, we should be agnostic about the approach and rigorously assess the accuracy and precision of our predictions. Comparing mechanistic and phenomenological approaches, we also found that predictions are seldom evaluated with a set of standard metrics, which can reveal complementary aspects of predictive proficiency. Mechanistic models are more often judged by qualitative assessments (e.g. do observations fall within the model confidence intervals), whereas phenomenological approaches usually rely on single metrics such as $R^2$ or correlation coefficients. Meta-analyses of predictive proficiency would be facilitated by either using a standard set of evaluation metrics, including deviations between predictions and observations (e.g. RMSE) and the range of predicted values (e.g. the specificity of predictions).

Some technical issues regarding the application of complex simulation models to prediction should also be mentioned. The accuracy of a prediction is not only contingent on the parameterization of mechanistic equations capturing relevant processes (*parameter sensitivity*), but even small, purely quantitative, errors in parameterization can lead to inaccuracy of predictions if the system is *structurally sensitive* (Adamson and Morozov, 2014; Cordoleani et al., 2011; Wood and Thomas, 1999).

The relevant outputs of mechanistic models are also usually the asymptotic dynamics. For that reason, simulations usually include an initial period of considerable length to get rid of transient effects of arbitrary initial conditions. However, long-term transients have been found in spatio-temporal predator-prey models that last far longer than the effects of initial conditions (Banerjee and Petrovskii, 2010; Rodrigues et al., 2011). Therefore, more attention should be paid to transient behaviors, to determine whether they are spurious or, possibly in some cases, important parts of predicted behavior (Hastings, 2004, 2001).

### 3.2. The role of data in ecological prediction

The quality and availability of data is essential for developing a predictive science, and better data archiving practices will improve access to data (Mouquet et al., 2015). High quality data are needed to parameterize models and test explanatory predictions, which help us to understand systems. Low quality data compromise our ability to test models rigorously, as it is unknown whether predictions are incorrect due to inappropriate data or to poorly specified models (i.e., due to model or parameter uncertainty). Simulated data can be very valuable for discovering whether modeling approaches are able to recover the parameters that generated the data after adding moderate levels of noise and are commonly used to show the usefulness of the modeling technique (e.g. Pascual and Kareiva, 1996). However, data collectors need to know the noise levels where modeling approaches will fail to recover signals from data: this will help design measurement and sampling schemes guaranteeing appropriate data for predictive models. Other important data properties are sampling frequency, duration of time series, and spatial replicates. More dialogue between modelers and practitioners in terms of data collection is clearly needed and could foster the collection of data specifically for the purpose of prediction. Micro- and mesocosms are widely used and very well suited tools to generate time-series of population, community and ecosystem dynamics (Altermatt et al., 2015; Fraser and Keddy, 1997; Resetarits and Bernardo, 1998). Because the study organisms are fast-growing and small, they are amenable to frequent monitoring. Experimental systems can furthermore be manipulated to study the ability of models to capture press or pulse perturbations.

Data availability is as crucial as data quality to foster the practice of prediction. Ideally, data should be long-term and real-time, such that anticipatory predictions can be made and checked with the smallest delay. This seems in reach for epidemiology; however, the majority of studies reviewed evaluated predictive proficiency by hindcasts. An alternative to collecting data for the purpose of prediction is to integrate data from governmental environmental monitoring schemes such as the long-term ecological research network (LTER, https://www.lternet.edu/) (Niu et al., 2014) or the collection of phenology data (monitoring by national meteorological agencies). Another source of regular data could be the use of volunteer-based monitoring schemes (for instance for birds or butterflies). These citizen science projects have the advantage of often covering large geographic areas (national to continental) and are designed to run for decades. However, careful assessments are needed to ensure data quality and comparability (Isaac et al., 2014). Nevertheless, making frequent predictions (e.g. abundance trends), and checking their precision/accuracy in hindsight, would allow comparison of different model types in terms of predictive success, as well as definition of an ecology-wide baseline of predictive success.

### 3.3. Ensuring representative predictive proficiency of models and towards a gold standard of prediction

The majority of the predictive models reviewed here make hindcasts: cross-validations where a set of data is partitioned into a training set, to which the model is calibrated, and a test data set which the model aims to predict. Because of that they fall short of anticipatory predictions, for several reasons. One major issue with hindcasts is that the modelers have access to the test data.

Therefore, test and training data sets are unlikely to be independent, as the training data set is unlikely to be chosen if it is not representative of the time series as a whole. In addition, investigators have unlimited attempts to predict (Franks, 2009), usually without indicating how many times it has failed. Complete failures or low success of models to predict a test data set will even go unpublished, limiting our ability to determine a representative measure of predictive success.

The predominance of hindcasts may cause several biases in the predictive power of ecological models, both in terms of the general predictive ability of a field and the predictive proficiency of certain model types relative to one another. They may be misleading because a model with enough degrees of freedom is likely to perform well through 'overfitting', regardless of how well it represents the scenario it is predicting. In this case, the predictive ability of complex mechanistic models may be overstated, because they have greater numbers of unconstrained parameters, and are therefore often underspecified given that data in ecology are hard to come by. The predictive ability of sufficiently flexible phenomenological/statistical models may be overstated as well, because of their focus on reproducing observations instead of incorporating mechanisms (Wenger and Olden, 2012). On the other hand, the predictive ability of simple mechanistic or more constraining phenomenological models could be underestimated. Tools to deal with over-fitting such as Akaike Information Criterion and procedures to systematically simplify complex IBMs (pattern oriented modeling) exists and can help to tackle these issues (Burnham and Anderson, 2002; Grimm and Railsback, 2012).

For these reasons, we should improve the way in which predictions are evaluated in ecology. This does not entail a complete rejection of hindcasting, but an attempt to mitigate the problems associated with retrospective predictions playing 'too safe'. First, test and training data should be kept as independent as possible, potentially keeping the test data inaccessible to the researchers making the prediction (e.g. by using a database). The modelers can later submit predictions that are compared against the test data by an independent party. To keep test and training data independent, they should naturally be kept separated in time, but should also ideally be obtained from different locations and scenarios in order to properly test the model's general applicability beyond its calibration data—also known as transferability (Wenger and Olden, 2012). This is the rationale behind the use of 'space-for-time' substitution in forest succession modeling, in which predictive models are calibrated for new forests, run for time periods of hundreds of years, and subsequently tested for their ability to predict nearby old growth forests. In contrast with hindcasting, anticipatory predictive studies automatically guarantee that modelers don't have access to test data beforehand. The genuine anticipatory prediction of a different situation to which the model has been calibrated also guarantees independence of the test and training data, and is therefore the "gold standard" of prediction.

### 3.4. How should we report predictions?

Anticipatory predictions are rarely formulated and even less frequently checked in hindsight, even when predictions are reported to government agencies on a regular basis. This is surprising, as predictions could easily be checked, as soon as new data becomes available. Hence, we suggest to make predictions in the first place so we have something to compare with when new data become available. A good example is Glaser et al. (2014b), who used hindcasts to test the predictive proficiency of their model but also provide an anticipatory prediction for the next year, for which data was not yet available. A rigorous assessment of proficiency

would require us to collect the predictions (ideally for a number of steps into the future) in a database with specific information about the model and data used. Whereas databases with population dynamic data (e.g., Global Population Dynamics Database, GPDB) and stock assessments (RAM legacy database) are available, we are not aware of databases that store model predictions and thereby allow the quantification of predictive proficiency. One could perform model inter-comparisons to evaluate their proficiency or rely on ensemble forecasts to study the consistency of different model types (e.g. statistical versus mechanistic). This may help to quantitatively disentangle whether certain ecological levels of organization, processes or organism properties are related to predictive success.

A major difficulty in comparing predictive proficiency across fields was the great diversity in how predictive proficiency was reported. A diversity of measures was used across studies, including correlation coefficients between predictions and observations, different measures of error (the absolute or relative difference between predictions and observations) as well as whether observations fell within the confidence bounds of predictions. This is true even in more predictive fields such as epidemiology (e.g. Nsoesie et al., 2014), which has a good practice of reporting and communicating predictions but in which no single metric is consistently reported. Whereas there may have been good reasons to choose one measure over the other in specific studies, we would advocate either the use of a single metric for reporting predictive proficiency in ecology, or the use of several complementary metrics that capture different aspects of predictive success. For time series, several recent studies (Garland et al., 2014; Ward et al., 2014) used the mean absolute scaled error (Hyndman and Koehler, 2006) which facilitates assessment of forecast accuracy within and among time series and hence facilitate comparisons. On the other hand, Olsen et al. (2016) report different metrics of predictive success to assess the predictive ability of a large ecosystem model and conclude that only the combination of metrics captures the different aspects of predictive proficiency. Ideally, predictions would be stored in a database, such that several metrics could be calculated across a variety of predictions and observations.

### 3.5. The practice of prediction and evidence-based policy

Although most models reviewed were quantitative, predictions do not need to rely on mathematical models to be useful. Evidence-based policy requires interactions among various groups and can take evidence from various sources. Policy makers and practitioners make decisions about how to achieve a particular outcome, or to solve a particular problem; e.g. how to control the outbreak of a particular disease, or how to reverse the decline in abundance of a threatened species. Predictions are intrinsic in any decision, in that one is predicting that the course of action decided upon will have the desired outcome.

In the case of controlling the 2001 foot and mouth disease outbreak in the UK, scientists quickly developed mathematical models, which were used to make forecasts about the effects of alternate vaccination and culling policies (Ferguson et al., 2001). When mathematical models are unavailable, or cannot be developed in time, decisions can be informed by other sources of evidence, including expert opinion. Expert opinion can be defined as a prediction made by a specialist with extensive experience and expertise relevant to the problem at hand. Unfortunately, expert opinion must be treated with great care, due to the thoroughly demonstrated cognitive biases that greatly reduce its predictive value (Sutherland and Burgman, 2015; Tetlock, 2006). One solution to the frailty of expert opinion is to train experts in the practices associated with accurate forecasting.

Groups and individuals making forecasts should attempt to first collate and apply relevant objective evidence to the problem at hand (the *outside view*). Evidence from comparable situations, and from relevant empirical studies, can be used as a baseline. For example, during the 2001 foot and mouth outbreak, comparisons with previous outbreak, particularly the large outbreak in 1967, and past interventions, were made. Only after application of the *outside view,* predictions are modified according to particular characteristics of the specific problem at hand (the *inside view*). As for quantitative models, assessment of predictive proficiency is an essential feedback to experts, especially when operating in groups that share evidence on which forecasts are based. Assembling empirical evidence (*outside view*) in advance of needing it for a particular policy decision has been termed "solution scanning" and explicitly involves decision makers (Sutherland et al., 2014).

All of the previous points share similarities with systematic reviews in medicine and evidence-based conservation, which require careful assessment by experts to compile the evidence, assess potential confounding factors, and make these available in forms of databases that are frequently updated (http://www.cebc.bangor.ac.uk/ebconservation.php). It also shows how important it is to still keep humans "in the loop" in terms of checking model predictions. Indeed, even in fields like meteorology, which showed impressive gains in predictive proficiency, model predictions are still cross-checked by experts for errors (Doswell, 2004).

### 3.6. Making predictions relevant beyond predictive proficiency

While we argued here for rigorous assessment of predictive proficiency, we acknowledge that improving predictive proficiency requires resources and may only be justified if there is a higher payback in terms of better informed policy. Analytical frameworks to assess the value of information are available from decision theory and applied in fields such as health economics (Claxton et al., 2002) or conservation biology (Canessa et al., 2015). Such analysis requires clear specification of the uncertainty of alternative decisions under a suite of hypotheses (scenarios describing what the future may look like) to evaluate the costs of certain actions (Canessa et al., 2015). Based on this information, scientists can decide whether it is worthwhile to collect further information, and if so prioritize where reduced uncertainty will yield the highest pay backs. Coupling predictive models with socio-economic models may also help to account for economic constraints and also better understand when sociological factors limit the adoption of evidence based policy (Sutherland and Freckleton, 2012).

Another important aspect to consider for scientists is that counterintuitively, better predictive proficiency does not necessarily lead to better decisions (Pielke and Conant, 2003). This is because science is not directly translated into decisions, but is only part of the decision making process, together with communication and the multiple constraints (i.e. societal and economic) that need to be balanced (Pielke and Conant, 2003). Communication of the inherent uncertainty of ecological predictions to policy makers is essential, as well as considering the needs of policy makers in terms of ecological evidence (Sutherland and Freckleton, 2012). One major factor for the adoption of ecological prediction is the experience (exposure to and ability to assess the quality of predictions) that policy makers have with ecological predictions (Pielke and Conant, 2003). Only predictions considered useful for decisions will be incorporated in the decision making process. These points are essential to make ecological predictions more relevant to policy makers and may be as important as improving predictive proficiency itself.

## 4. Conclusions

Global environmental change poses many threats to natural ecosystems and global biodiversity. Hence, there is a pressing need for anticipatory predictions, which will help to foresee, manage and adapt to the effects of global change (Mouquet et al., 2015; Petchey et al., 2015). Ecologists have come a long way towards making their science more quantitative and have developed the habit of testing theories using explanatory predictions. Now there is an urgent need to follow the example of other fields to develop a rigorous practice of prediction to inform policy makers and the public. More anticipatory predictions, as well as critical evaluation of predictive proficiency, are needed in ecology to define the baseline of predictive proficiency and we propose various ways how to foster such a practice of prediction summarized by the forecasting loop. We believe that such concerted actions by ecologists may lead to larger gains in predictive proficiency in the long run, and will lead to more accurate and precise predictions to inform policy makers and stake holders.

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